2011 Advanced BSA School Registration

Name _____

Title _____

Bank _____

Address _____

City _____ State _____ Zip _____

Email _____

Phone Fax _____

Additional Attendees _____

Additional Attendees _____

Registration Fees

Early Bird Rate (Before 1/31/11) Members - $595 Non-Members - $745
Regular Rate (After 1/31/11) Members - $645 Non-members - $745

Payment Method

❍ Please invoice me.
❍ I have enclosed a check. Amount enclosed: $_____
❍ Please charge $_____ to the following credit card:
❍ Visa ❍ MasterCard ❍ Discover ❍ American Express
Credit Card Number _____ Exp. Date _____

Please send completed registration form and fees to:

CBAA, P.O. Box 241166, Montgomery, AL 36124 • Fax: (334) 244-9382

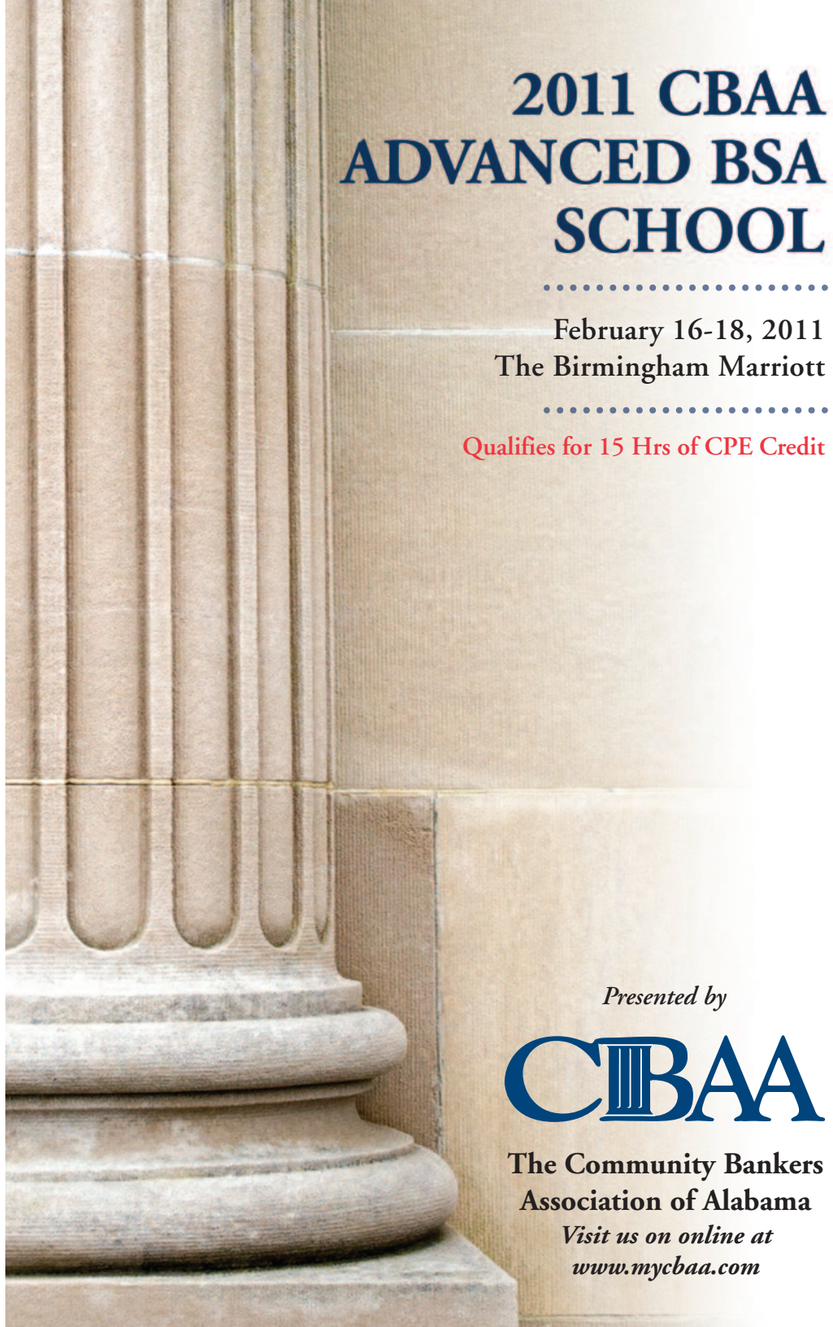
Questions/Comments?

Call or email Debbie Pharr, Education Coordinator,
at dpharr@mycbaa.com or (800) 239-7338.

CBAA Cancellation Policy

A processing fee of $100 applies to all cancellations. We will gladly refund 100 percent of your registration fee (minus the $100 processing fee) if notice is given by 1/31/11. After 1/31/11 no money can be refunded, although substitution of a participant is welcome.

CBAA
The Community Bankers Association of Alabama
P.O. Box 241166
Montgomery, AL 36124



2011 CBAA ADVANCED BSA SCHOOL

February 16-18, 2011
The Birmingham Marriott

Qualifies for 15 Hrs of CPE Credit

Presented by

CBAA

The Community Bankers Association of Alabama

Visit us on online at www.mycbaa.com

2011 CBAA ADVANCED BSA SCHOOL

Agenda at-a-Glance

Wednesday, February 16, 2011

8:30 a.m.	Registration and Continental Breakfast
9 a.m. – 11:30	Program
11:30 – 12:30	Lunch on your own
12:30 – 4p.m.	Program

Thursday, February 17, 2011

8:30 a.m.	Continental Breakfast
9 a.m. – 11:30	Program
11:30 – 12:30	Lunch on your own
12:30 – 4 p.m.	Program

Friday, February 18, 2011

8:30 a.m.	Continental Breakfast
9a.m. – 12p.m.	Program

Business casual dress is appropriate for all events.
We recommend bringing a light jacket or sweater as the temperature in the meeting room could be cool.

Compliance with the Bank Secrecy Act (BSA) continues to be a top priority of the regulators as well as community banks.

Examiners are increasing their focus to ensure financial institutions are complying with all aspects of the Bank Secrecy Act (BSA) and its many regulatory requirements. One major requirement of BSA is to designate a BSA Officer, as well as an Assistant BSA Officer, and to ensure that the BSA Officer is well trained and qualified to carry out the duties of this important position.

To assist you in achieving this key requirement, CBAA is pleased to offer the 2011 Advanced BSA School. The focus of school is to provide updates and changes to the BSA laws and regulations and to provide valuable information that will help you enhance your BSA Compliance Program and to help you prepare for your next BSA examination and audit. You will leave with valuable tips, tools, checklists, and best practices to manage BSA requirements.

Day 1: Wed., February 16, 2011

- 2011 BSA Update
- The BSA Policy – What exactly are the Examiners Expecting?
- Update of Administrative Requirements and Exam Guidelines
- Identifying and Monitoring High-Risk Customers
  - Money Service Businesses
  - Private ATMS
  - High-Risk Occupations and Professions
- Risk-Rating Customers
- Mortgage & Loan Fraud
- Electronic Banking Issues
- ACH & AML Issues
- Case Studies

Day 2: Thurs., February 17, 2011

- Current BSA Violations and Hot Topics
- Exempt Customer Issues and Proposed Changes
- Suspicious Activity Report Update, Issues, Tools, and Tips
- Red Flags and BSA
- Identify and Monitor for Micro-Structuring
- Update on BSA Request Memo
- Case Studies and BSA Solutions Sharing

Day 3: Fri., February 18, 2011

- Expectations of BSA Risk Assessments
- BSA Audits
- BSA Exam Manual: Preparation and Execution
- New BSA Training Program Implementation Tools

***Agenda subject to change*

Why Participate? Who Should Attend?

The Advanced BSA School is a cost-effective way to ensure that your BSA program is all it needs to be. Plus, the networking with other BSA officers will give you great ideas and tools to add to your present program.

This school covers advanced topics and Compliance Officers, Auditors, Risk Managers and others with BSA responsibilities will all benefit from this informative school.

Hotel Information:

Please call the The Birmingham Marriott (Located in Grandview Corporate Park, 3590 Grandview Parkway, Birmingham, AL 35243) today at (205)968-3775 to make your reservations. Reference the code CBACBAA to receive the room rate of $139 plus taxes per night for a regular room. Reservations must be made by February 2, 2011 provided that our room block does not fill up prior to that date.

Featured Speaker:

Dianne Barton is the Founder and President of Performance Solutions, inc., a training and consulting company that specializes in providing solutions to the key challenges facing banks today in attracting and building relationships with their customers. Her expertise in customer service, leadership, retail regulatory and compliance, and performance cultures is recognized as leading edge by both national banks and community banks. Her programs are designed to "close the gap" between the bank's needs and employees' skills. Her philosophy of experiential learning and participant involvement in training led to the development of her energized, interactive training method that is skill based rather than theory based. Her training limits lecture discussions to a maximum of 7-9 minutes, relying on an active "real world" approach by the participants. Dianne is a frequent speaker and training facilitator with both banking associations and financial institutions.

Before starting Performance Solutions, she held senior positions with Bank South Corporation, the Internal Revenue Service, and the John H. Harland Company, where she introduced the highly acclaimed Officer Call Program.

Before starting Performance Solutions in 1983, she held senior positions with Bank South Corporation, the Internal Revenue Service, and the John H. Harland Company, where she introduced the highly acclaimed Officer Call Program.

Dianne is a graduate of Georgia State University and is on the faculty of several banking schools. She is a past member of the American Society of Training and Development and the National and State Speakers Associations.

Registration Fees:

Early Bird Rate (Before 1/31/11) Members - $595 Non-Members - $745
Regular Rate (After 1/31/11) Members - $645 Non-members - $745

Registration fees include all seminar materials, continental breakfast & refreshments all three days.
Please send registration form and fees to: CBAA, P.O. Box 241166, Montgomery, AL 36124
Fax: (334)244-9382 Questions? Call us at (800)239-7338